

ARTH UDYOG LIMITED

(CIN : L18101DL1971PLC028813)

Regd. Office : 16A, Lajpat Nagar-IV, New Delhi-110024
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Website : www.arthudyog.co.in

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **ARTH UDYOG LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : **11603-EQUITY**
- 3 Share Holding Pattern Filed under: **Reg. 31(1) (b) : Quarter Ended 30/09/2016**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also whenever there is 'No' declared by Listed Entity in the above table the values will be considered as 'Zero' by default on submission of the format of the holding of the specified Securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shares (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (x)/(A+B+C2) as a % of (A+B+C2)	Number of Locked in Shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class: Equity	Class: eqy	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	6	3,23,500	0	0	3,23,500	66.02	3,23,500	0	3,23,500	66.02	0	0.000	NIL	NIL	NIL	NIL	NIL
(B)	Public	72	1,66,500	0	0	1,66,500	33.98	1,66,500	0	1,66,500	33.98	0	0.000	N.A	N.A	N.A	N.A	1200
(C)	Non Promoter - Non Public	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	N.A	N.A	NIL
(C1)	Shares underlying DRs	-	-	-	-	-	N.A	-	-	-	-	-	-	N.A	N.A	N.A	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	N.A	-	-
	TOTAL	78	4,90,000	0	0	4,90,000	100.00	4,90,000	0	4,90,000	100.00	0	0.000	NIL	NIL	NIL	NIL	1200



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (viii)+(x) as a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class Equity	Class Y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1) Indian																		
(a) Individuals/Hindu undivided Family																		
(b) Central Government/State Government(s)																		
(c) Financial institutions/Banks																		
(d) Any Other (Bodies Corporate)																		
Bharat Nighi Limited	AAACB01951	-	90,000	0	0	90,000	18.37	90,000	0	90,000	18.37	0	18.37	0	0.000	-	-	0
Matrix Merchandise Limited	AAABCM8300M	-	71,200	0	0	71,200	14.53	71,200	0	71,200	14.53	0	14.53	0	0.000	-	-	0
Sannat Properties Limited	AAAC52745K	-	48,000	0	0	48,000	9.80	48,000	0	48,000	9.80	0	9.80	0	0.000	-	-	0
Ashoka Marketing Limited	AAACCA7616H	-	46,000	0	0	46,000	9.39	46,000	0	46,000	9.39	0	9.39	0	0.000	-	-	0
TMI Investments Limited	AAABCT05486G	-	35,550	0	0	35,550	7.26	35,550	0	35,550	7.26	0	7.26	0	0.000	-	-	0
Mahavir Finance Limited	AAACCM0931B	-	32,750	0	0	32,750	6.68	32,750	0	32,750	6.68	0	6.68	0	0.000	-	-	0
Sub-Total (A)(1)		6	3,23,500	0	0	3,23,500	66.02	3,23,500	0	3,23,500	66.02	0	66.02	0	0.000	-	-	0
(2) Foreign																		
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b) Government																		
(c) Institutions																		
(d) Foreign Portfolio Investor																		
(e) Any Other																		
Sub-Total (A)(2)																		
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	3,23,500	0	0	3,23,500	66.02	3,23,500	0	3,23,500	66.02	0	66.02	0	0.000	0	0.000	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.-NIL

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 as a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights (x)	No. of Underlying convertible securities (including Warrants) (xi)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xii) = (vii)-(xi) as a % of (A+B+C)	Number of Locked in shares (xiii)	As a % of total Shares held (xiv)	Number of Shares pledged or otherwise encumbered (a)	As a % of total Shares held (b)	Number of equity shares held in dematerialized form (xv)
								Class Equity	Class Y	Total								
(1) Institutions		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL	
(a) Mutual Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(b) Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(c) Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(d) Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(e) Foreign Portfolio Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(f) Financial Institutions/Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(g) Insurance Companies		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(h) Provident Funds/Pension Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(i) Any Other		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
Sub-Total (B)(1)		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL	
Central Government/State Government(s)/President of India		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL	
Sub-Total (B)(2)		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL	
(3) Non-Institutions																		
(a) Individuals		56	166338	0	0	166338	33.94	166338	0	166338	33.94	0	0.00	0.00	N.A	N.A	1038	
i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		55	1,338	0	0	1,338	0.27	1,338	0	1,338	0.27	0	0.00	0.00	N.A	N.A	0	
ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		1	1,65,000	0	0	1,65,000	33.67	1,65,000	0	1,65,000	33.67	0	0.00	0.00	N.A	N.A	0	
Vireet Jain	AEPH13012L	-	1,65,000	0	0	1,65,000	33.67	1,65,000	0	1,65,000	33.67	0	0.00	0.00	N.A	N.A	0	
(b) NBFCs registered with RBI		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(c) Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(d) Overseas Depositors (holding DRs)(balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	N.A	-	
(e) Any Other																		
Bodies Corporate		2	130	0	0	130	0.03	130	0	130	0.03	0	0.00	0.00	N.A	N.A	130	
Resident Indian HUF		14	32	0	0	32	0.01	32	0	32	0.01	0	0.00	0.00	N.A	N.A	32	
Sub-Total (B)(3)		72	1,66,500	0	0	1,66,500	33.98	1,66,500	0	1,66,500	33.98	0	0.00	0.00	N.A	N.A	1200	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		72	1,66,500	0	0	1,66,500	33.98	1,66,500	0	1,66,500	33.98	0	0.00	0.00	N.A	N.A	1200	

Details of the Shareholders acting as persons in Concert including their Shareholding (No. and %): NIL
 Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.-NIL

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of following persons:
 Institutions/Non Institutions holding more than 1% of total number of shares.
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % calculated as per SCRR, 1957, as a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)				No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)	Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)
								Class Equity	Class y	Total	Total as a % of Total Voting rights					
(1) Custodian, DR Holder		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL
(a) Name of DR Holder (if available)														N.A	N.A	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL
(a) Name (abc):														N.A	N.A	
Total Non-Promoter Non-Public Shareholding (C)=(C1)+(C2)		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	N.A	N.A	NIL

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

For Arth Udyog Limited

RuchN Bratia
Company Secretary



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